

# Chromatic Number

of the Square of Kneser  
graph  $K(2k+l, k)$

Hemanshu Kaul

ILLINOIS INST. OF TECHNOLOGY  
www.math.iit.edu/~kaul

Joint work with JeongHyun Kong, UG.

Defn Kneser graph  $K(n, k)$

Vertex set =  $\binom{[n]}{k} = \{A \subseteq [n] = \{1, 2, 3, \dots, n\} : |A|=k\}$

Edge set:  $AB$  is an edge if  $A \cap B = \emptyset$ .

Example  $K(5, 2)$

Vertices are all

2-element subsets of  $[5]$

$\{1, 2\}$   $\{1, 3\}$   $\{1, 4\}$   $\{1, 5\}$

$\{2, 3\}$   $\{2, 4\}$   $\{2, 5\}$

$\{3, 4\}$   $\{3, 5\}$

$\{4, 5\}$

Defn Kneser graph  $K(n, k)$

Vertex set =  $\binom{[n]}{k} = \{A \subseteq [n] = \{1, 2, 3, \dots, n\} : |A|=k\}$

Edge set:  $AB$  is an edge if  $A \cap B = \emptyset$ .

Example  $K(5, 2)$

Vertices are all

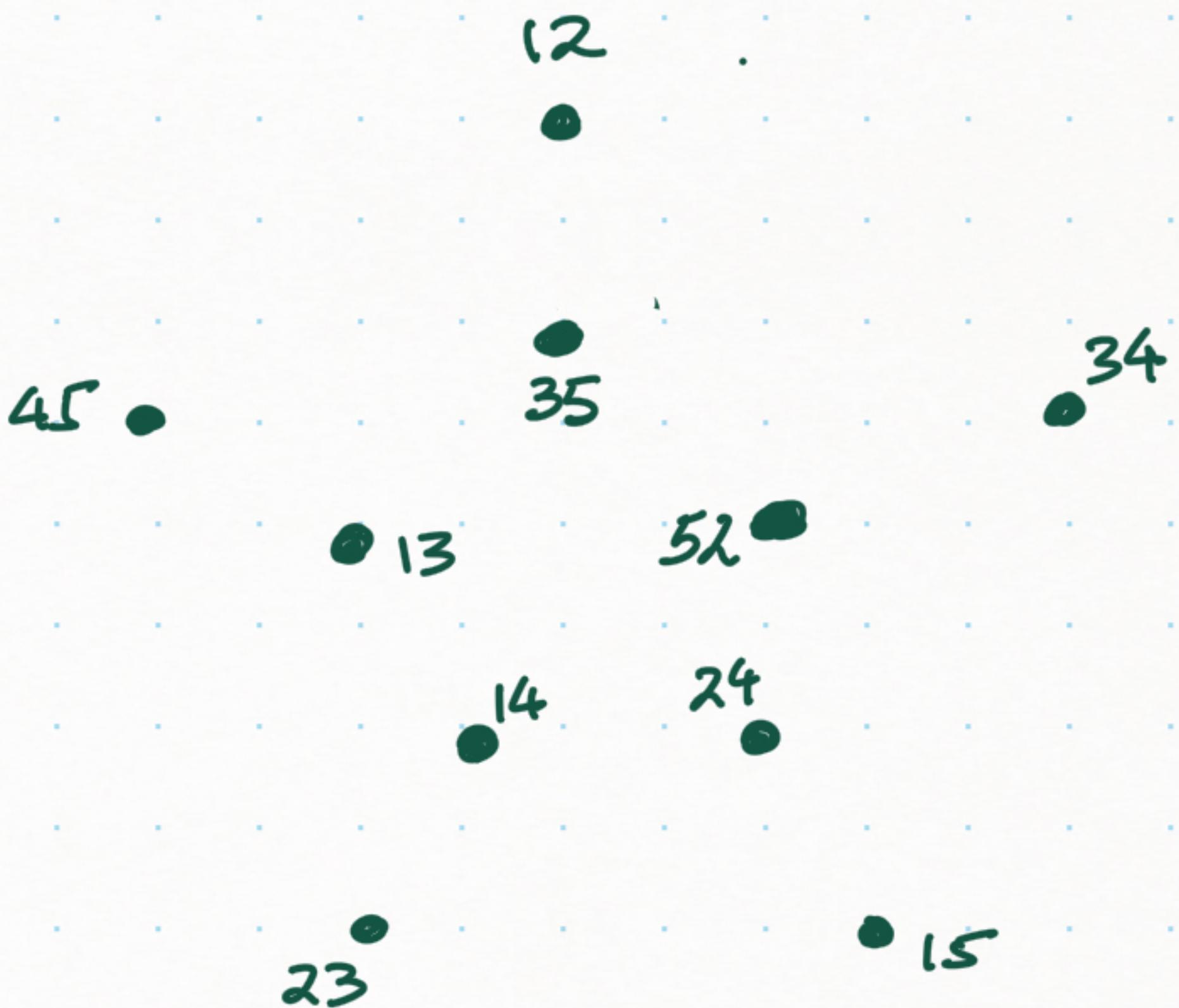
2-element subsets of  $[5]$

12      13      14      15

23      24      25

34      25

45



Defn Kneser graph  $K(n, k)$

Vertex set =  $\binom{[n]}{k} = \{A \subseteq [n] = \{1, 2, 3, \dots, n\} : |A|=k\}$

Edge set:  $AB$  is an edge if  $A \cap B = \emptyset$ .

Example  $K(5, 2)$

Vertices are all

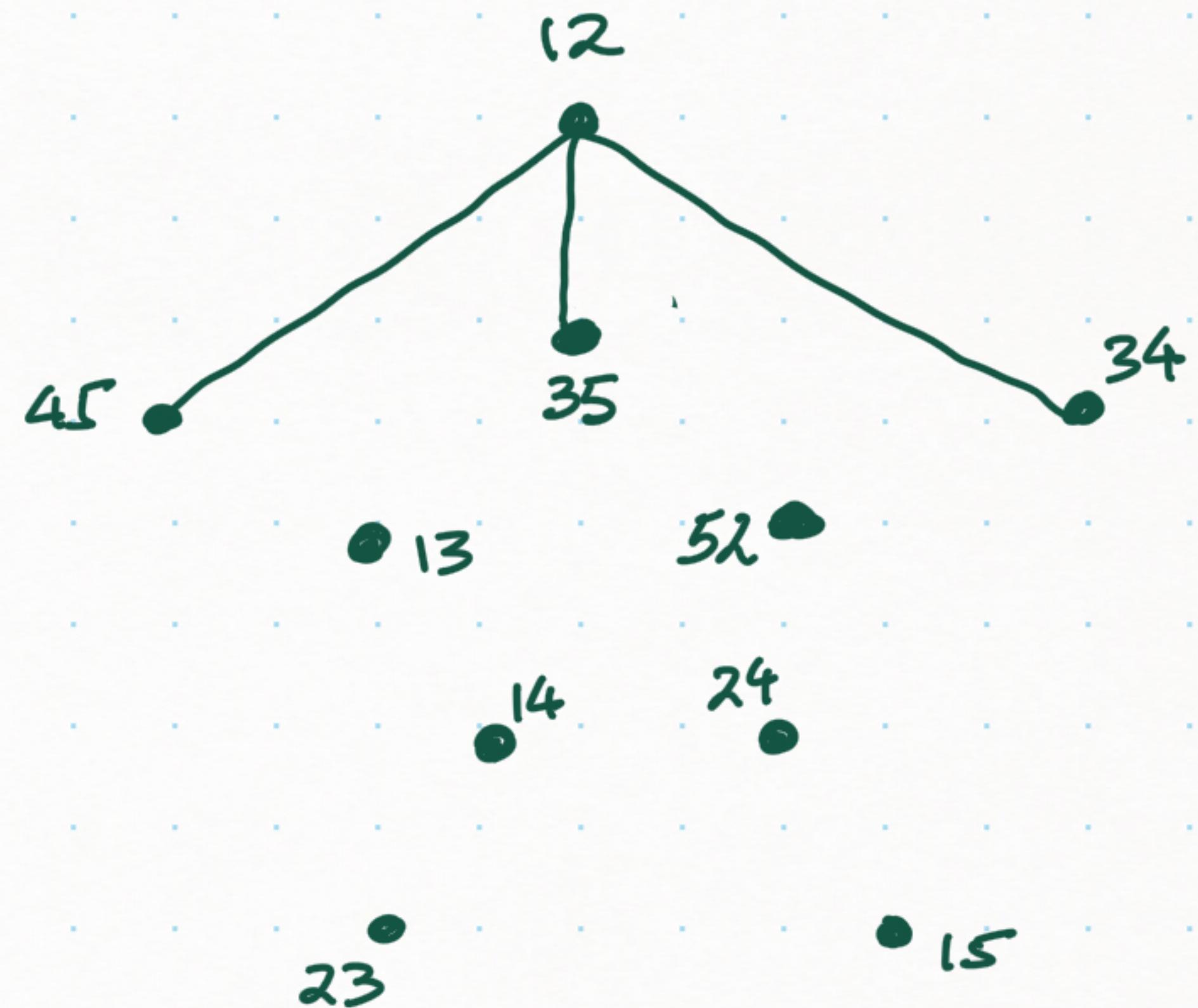
2-element subsets of  $[5]$

12      13      14      15

23      24      25

34      25

45



Defn Kneser graph  $K(n, k)$

Vertex set =  $\binom{[n]}{k} = \{A \subseteq [n] = \{1, 2, 3, \dots, n\} : |A|=k\}$

Edge set:  $AB$  is an edge if  $A \cap B = \emptyset$ .

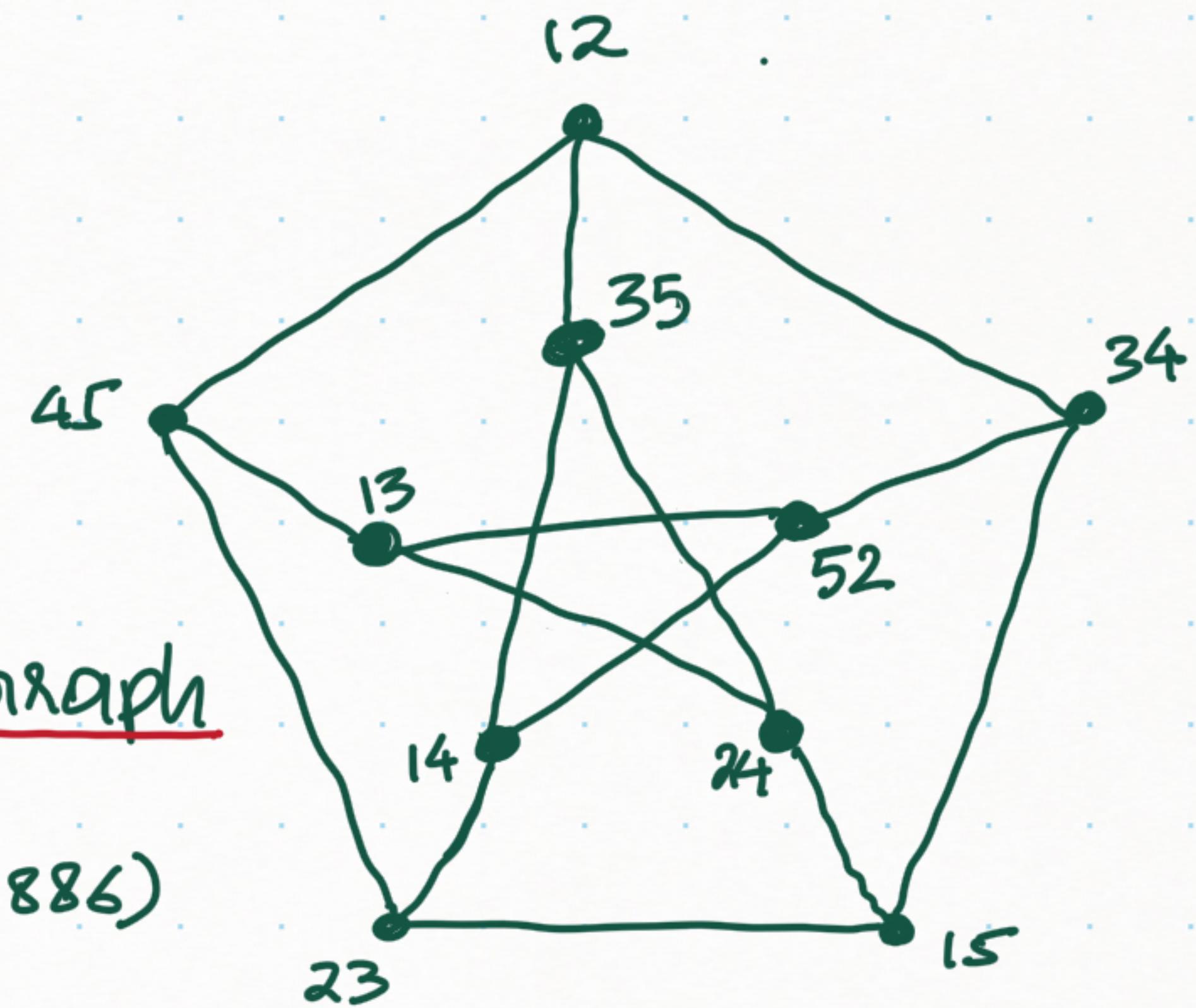
Example  $K(5, 2)$

Vertices are all  
2-element subsets of  $[5]$

12	13	14	15
23	24	25	
34	25		
45			

Petersen Graph  
(1898)

- Kempe (1886)



- Examples
- $K(n, 1) = K_n$
  - $K(n, 2) = \overline{L(K_n)}$
  - $K(2k, k)$  = matching (each  $A \subseteq [2k]$  w.  $|A|=k$  is adjacent to  $\overline{A}$ )
  - $K(2k+1, k)$  are called Odd Graphs  $O_k$ 
    - ↪ Kosakowski (1917)
    - ↪ Biggs (1972)
  - Biggs (1979) conjectured  $O_k$  is Hamiltonian if  $k \geq 4$ .  
Recently proved by Mütze et al. (2018).
  - $\chi(K(2k+1, k)) = 3$
  - Independent set is an intersecting family of  $k$ -sets,  
so Erdős-Ko-Rado tells us:  $\alpha(K(2k+1, k)) = \underline{\binom{2k}{k-1}}$

- Kneser (1955) conjectured  $\chi(K(n, k)) = n - 2k + 2$   
 (motivated by Kaplansky's work on quadratic forms)
   
for all  $n \geq 2k$ .

→ Famsly proved by Lovasz (1978)  
 using topological methods

- Simplified by Barany (1978) using  
 Borsuk-Ulam Theorem & Gale's Thm.<sup>①</sup>

## BIRTH OF Topological methods in Combinatorics<sup>②</sup>

see: ① Aigner, Ziegler, Proofs from the Book.

② Matousek, Using Borsuk-Ulam Thm.

- First combinatorial proof by Matousek (2004)

## Fundamental Questions about Kneser graphs $K(n, k)$

→ Independence number,  $\alpha(K(n, k)) = \binom{n-1}{k-1}$   
max size of intersecting family from Erdős-Ko-Rado (1961)

→ Chromatic number, conjectured in 1955 & proved  
partition into intersecting families in 1978

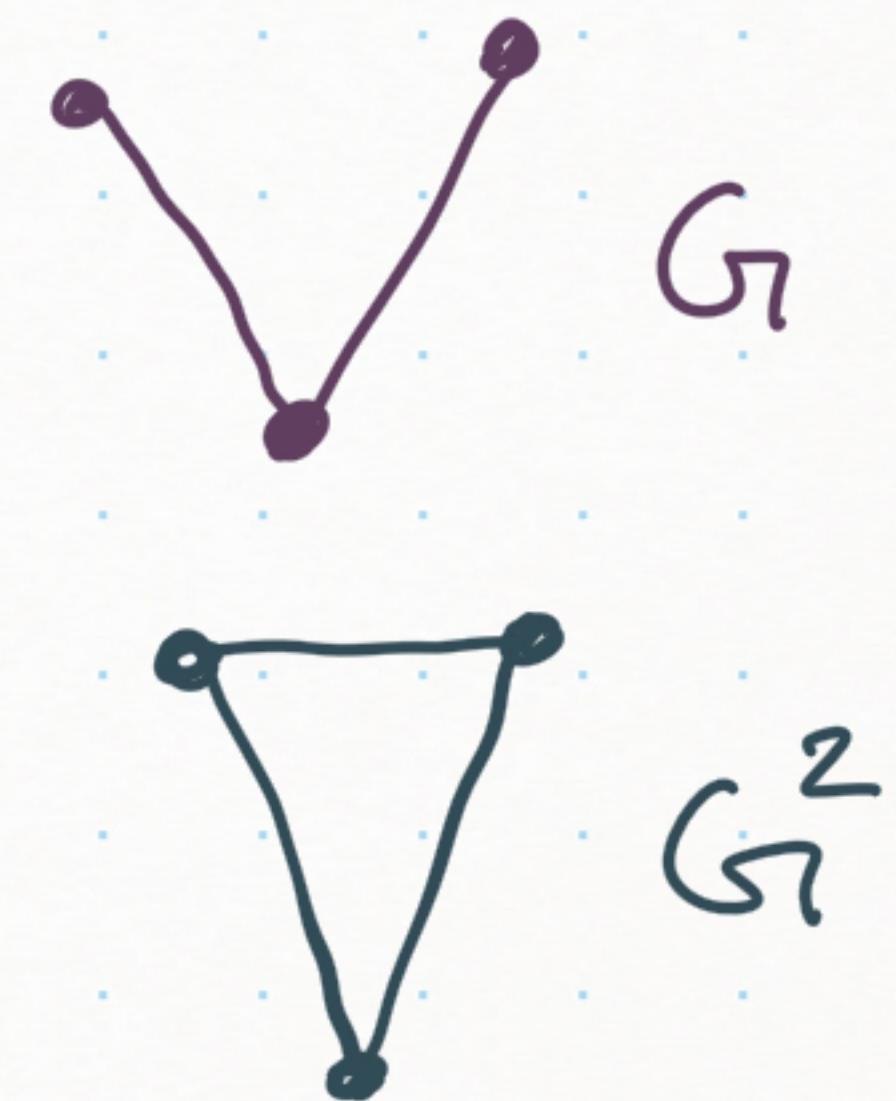
→ Clique number,  $\omega(K(n, k)) = \left\lfloor \frac{n}{k} \right\rfloor$   
max size of nonintersecting family from Baranyai's Thm (1975)  
& its generalization.

→ Fractional chromatic number  $\chi^*(K(n, k)) = \chi(K(n, k))$   
Johnson-Holyoak-Stahl conjecture (1997) proved in 2011  
(only using topological methods)

OPEN → Hamiltonicity, conjectured in 1972/79  
only known for  $n=2k+1$  (2018) &  $n \geq 2.64k$  (2003).

Füredi (2002) asked what is the  
 $\chi(K^2(n, k))$  ?  $\oplus$

Defn  $G^2$  square of a graph  $G_2$   
has  $V(G^2) = V(G)$   
and  $uv \in E(G^2)$  if  $d_G(u, v) \leq 2$



- Proper coloring  $f: V(G) \rightarrow \{1, 2, 3, \dots, t\}$   
with  $f(u) \neq f(v)$  if  $uv \in E(G)$  i.e.,  $d_G(u, v) = 1$   
or  $d_G(u, v) = 2$

$\oplus$  long history of  $\chi(G^d)$  in terms of girth & for planar graphs.

$$\underline{\chi(K^2(n, k))}$$

Trivial cases

$K(n, k)$  with  $n \leq 2k-1$  is an independent set  
so,  $\chi(K(n, k)) = 1$

$K(n, k)$  with  $n = 2k$  is a matching  
so,  $\chi(K(2k, k)) = 2$

$$\underline{\chi(K^2(n, k))}$$

Trivial cases

$K(n, k)$  with  $n \leq 2k-1$  is an independent set

so,  $\chi(K(n, k)) = 1$

&  $\chi(K^2(n, k)) = 1$

$K(n, k)$  with  $n = 2k$  is a matching

so,  $\chi(K(2k, k)) = 2$

&  $\chi(K^2(2k, k)) = 2$

## $\chi(K^2(n, k))$

### Trivial cases

$K(n, k)$  with  $n \geq 3k - 1$

Note any pair of intersecting  $k$ -sets will have a common neighbor in  $K(n, k)$  [a  $k$ -set disjoint from both] & hence will be adjacent in  $K^2(n, k)$ .

$K^2(n, k)$  is a clique for  $n \geq 3k - 1$ .

$$\boxed{\chi(K^2(n, k)) = ? \quad \text{for } 2k+1 \leq n \leq 3k-2}$$

We focus on  $K^2(2k+1, k)$

Chromatic number of the  
square of the odd graph.

## Edge structure of $K^2(2k+1, k)$

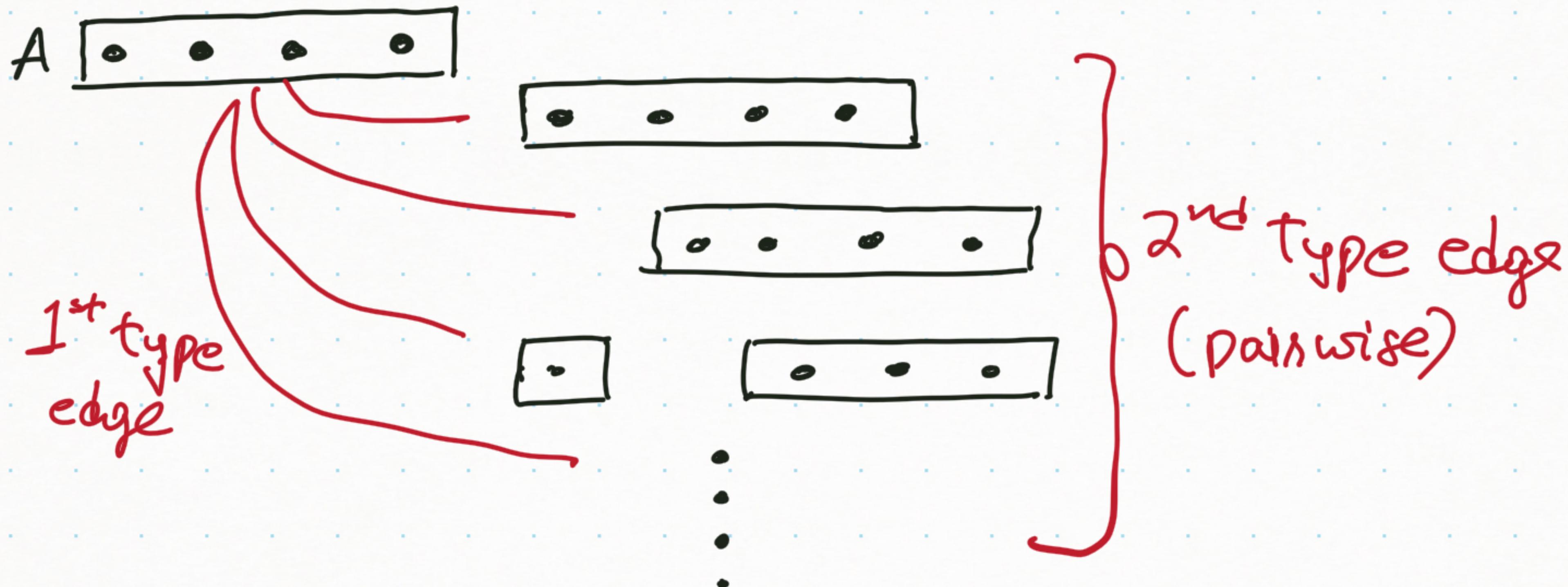
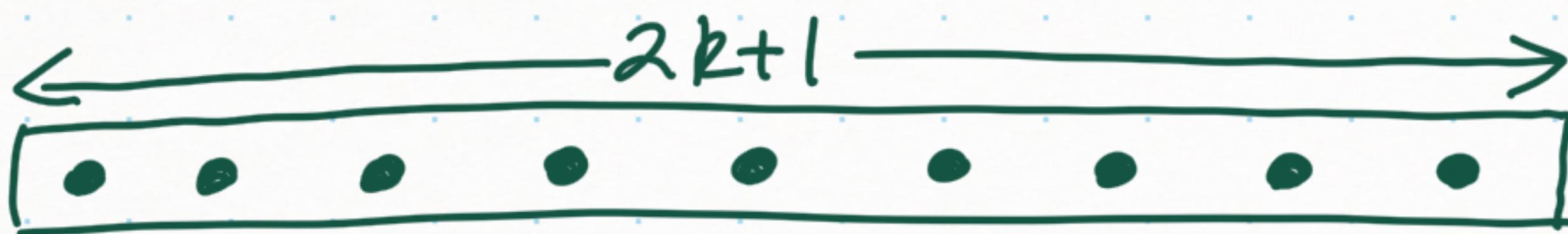
- $AB \in E(K(2k+1, k)) \iff A \cap B = \emptyset$
  - $AB \in E(K^2 - K) \iff A \cap B \neq \emptyset \text{ & } \exists C \text{ s.t. } A \cap C = \emptyset \text{ & } B \cap C = \emptyset$
- $K^2(2k+1, k)$        $K(2k+1, k)$

## Edge structure of $K^2(2k+1, k)$

- $AB \in E(K(2k+1, k))$  iff  $A \cap B = \emptyset$  "first type"
  - $AB \in E(K^2 - K)$  iff  $A \cap B \neq \emptyset$  &  $\exists C$  s.t.  $A \cap C = \emptyset$   
 $B \cap C = \emptyset$
- which can happen  
iff  $|A \cap B| = k-1$  "second type"

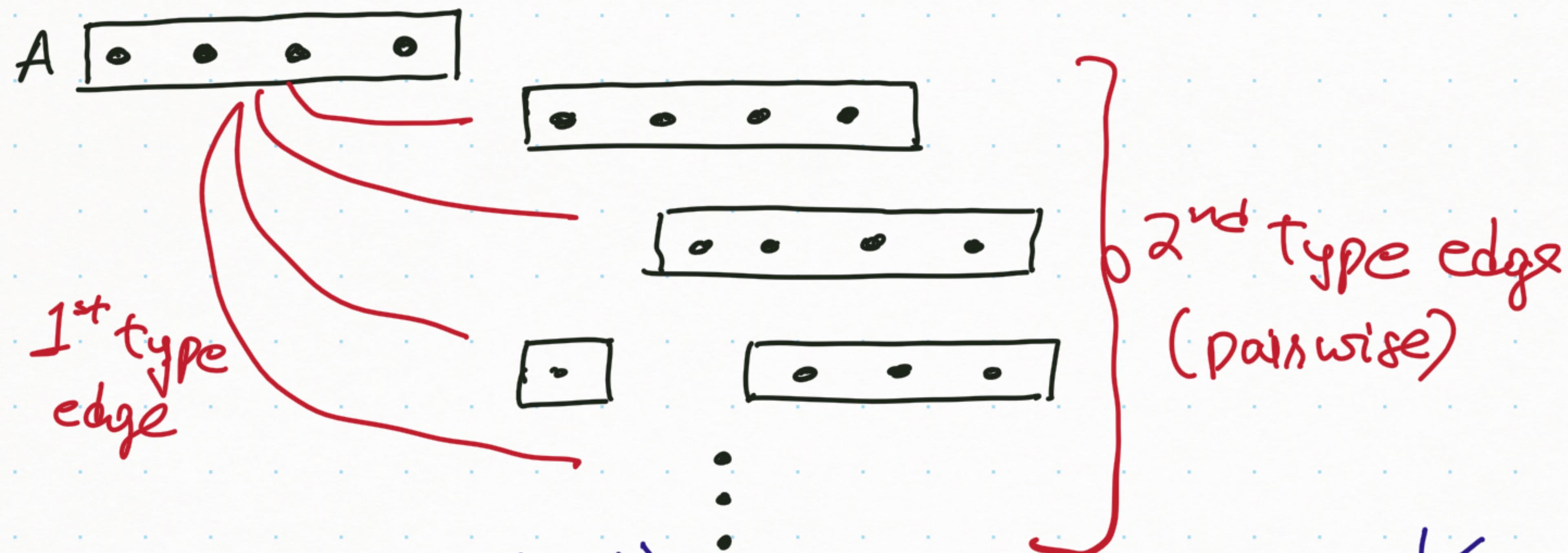
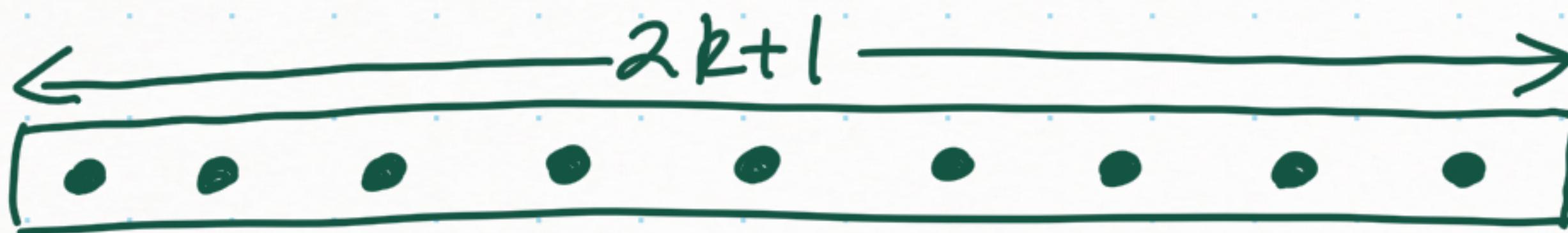
## Lower Bound

$$\chi(K^2(2k+1, k)) \geq \omega(K^2)$$



## Lower Bound

$$\chi(K^2(2k+1, k)) \geq \underline{\omega(K^2)} = k+2$$



$$\# \text{vertices } 1 + \binom{k+1}{k} \longrightarrow K_{k+2}$$

## Upper bounds

Conjecture  $\chi(K^2) \leq 2k + \text{constant}$   
for  $k \geq 2$

- Kang 2004  $4k+3$
- Kim & Nakprasit 2004  $4k+2$
- Chen & Lih & Wu 2009  $3k+2$
- Khodkar & Leach 2009  $\chi(K^2(9,4)) = 11$
- Kim & Park 2014  $\frac{8}{3}k + \frac{20}{3}$  for  $k \geq 3$
- Kim & Park 2016  $\frac{32}{15}k + 32$  for  $k \geq 7$
- Kang 2018  $\frac{5}{2}k + 6$  for  $k \geq 2$

## Upper bounds

Conjecture  $\chi(K^2) \leq 2k + \text{constant}$   
for  $k \geq 2$

- Kang 2004  $4k+3$
- Kim & Nakprasit 2004  $4k+2$
- Chen & Lih & Wu 2009  $3k+2$
- Khodkar & Leach 2009  $\chi(K^2(9,4)) = 11$
- Kim & Park 2014  $\frac{8}{3}k + \frac{20}{3}$  for  $k \geq 3$
- Kim & Park 2016  $\frac{32}{15}k + 32$  for  $k \geq 7$
- Kang 2018  $\frac{5}{2}k + 6$  for  $k \geq 2$

Kaul & Kang 2020  $2(k+1) + 2\lceil \log_2 k \rceil$

Theorem 1 [K. & Kang 2020]

$$\chi(K^2(2k+1, k)) \leq 2(R+1) + 2\lfloor \log_2 R \rfloor$$

Theorem 2 [K. & Kang 2020]

If  $k = 2^\alpha - 1$  for some  $\alpha \in \mathbb{Z}^+$

then  $\chi(K^2(2R+1, R)) \leq 2k+2$

## Open Questions

### • Upper Bound

$$\chi(K^2(2k+1, \epsilon)) \leq 2k + \text{constant?}$$

(Remove  $+\log_2 k$  factors from our bound)

## Open Questions

### • Upper Bound

$$\chi(K^2(2k+1, k)) \leq 2k + \text{constant?}$$

(Remove  $+ \log_2 k$  factor from our bound)

### • Lower Bound

$$\text{Known: } \chi(K^2) \geq \omega(K^2) = k+2$$

Better?

$$\chi(K^2) \geq \frac{|V(K^2)|}{\alpha(K^2)} = \frac{\binom{2k+1}{k}}{\alpha(K^2(2k+1, k))} ??$$

Independent set in  $K^2(2k+1, k)$

$$= \left\{ A \in \binom{[2k+1]}{k} : |A \cap B| \leq k-2 \right\}$$

An intersecting family of  $k$ -sets in  $[2k+1]$   
with pairwise intersection bounded by  $k-2$ .

## Open Questions

### • Upper Bound

$$\chi(K^2(2k+1, k)) \leq 2k + \text{constant}$$

(Remove  $+\log_2 k$  factor from our bound)

### • Lower Bound

$$\text{Known: } \chi(K^2) \geq \omega(K^2) = k+2$$

Better?

$$\chi(K^2) \geq \frac{|V(K^2)|}{\alpha(K^2)} = \frac{\binom{2k+1}{k}}{\alpha(K^2(2k+1, k))}$$

$$\text{Maximize } |\{A \in \binom{[n]}{k} : d_1 \leq |A \cap B| \leq d_2\}|$$

where  $0 < d_1 \leq d_2 < k < n$

upper bound max size of intersecting family with bounded intersection sizes.

## Open Questions

- Upper Bound

$$\chi(K^2(2k+1, \emptyset)) \leq 2k + \text{constant}$$

(Remove  $+\log_2 k$  factor from our bound)

- Lower Bound

Known:  $\chi(K^2) \geq \omega(K^2) = k+2$

Better?  $\chi(K^2) \rightarrow$  partition into independent sets

How to partition  $\binom{[2k+1]}{k}$  into intersecting families with bounded intersection sizes?

Ind. sets are  $\{A \in \binom{[2k+1]}{k} : 1 \leq |A \cap B| \leq k-2\}$

Theorem 1 [K. & Kang 2020]

$$\chi(K^2(2k+1, k)) \leq 2(R+1) + 2\lfloor \log_2 R \rfloor$$

Theorem 2 [K. & Kang 2020]

If  $k = 2^\alpha - 1$  for some  $\alpha \in \mathbb{Z}^+$

then  $\chi(K^2(2R+1, R)) \leq 2k+2$

# Outline of the Proof

## Notation

$\binom{X}{s}$  = collection of all subsets of  $X$  of size  $s$

Direct sum  $A \oplus B = \{A \cup B : A \in A \text{ and } B \in B\}$   
where  $A$  &  $B$  are disjoint families  
of subsets of  $[n]$ .

collection of all pairwise unions of sets  
from  $A$  and  $B$  respectively.

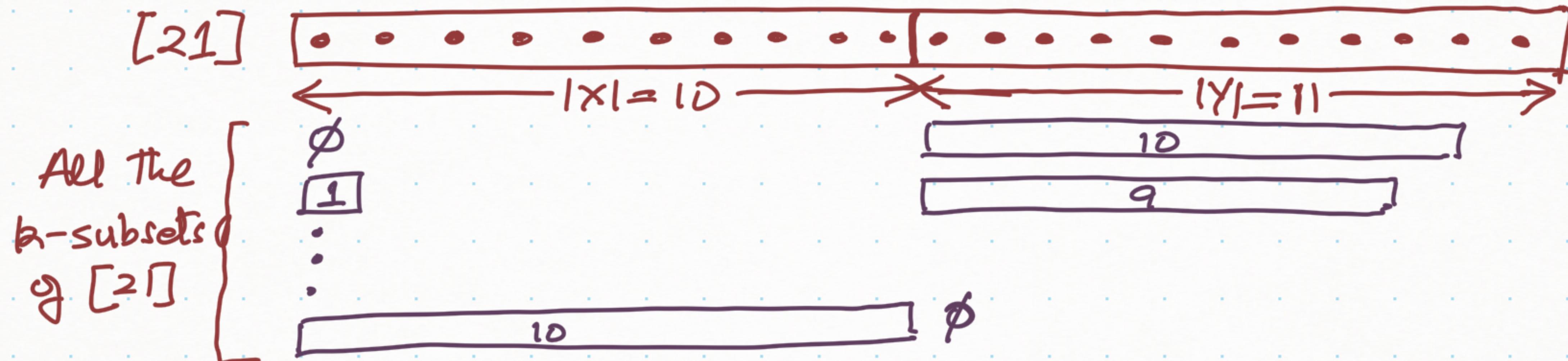
Generalized Johnson Graphs  $J(X, \{s, s-1, \dots, s-l\})$   
vertices are all subsets of  $X$  of size  $s, s-1, \dots, s-l$ . Edges when  
①  $|A|=|B|$  &  $|A|=|B|=|A \cap B|+1$   
②  $|A|<|B| \Rightarrow A \subseteq B$

Cartesian Product of Graphs  $G \square H$

- Partition  $[2k+1]$  into  $X \cup Y$  with  $|X|=k, |Y|=k+1$

- Vertex set  $V = \binom{[2k+1]}{k}$  is the disjoint union of direct sums of the form  $\binom{X}{s} \oplus \binom{Y}{k-s}$  for  $0 \leq s \leq k$

Think of  $K^2(21, 10)$  i.e.,  $k=10$



Kang (2018) Pair up subsets of the form

$$\binom{x}{\lfloor \frac{B}{2} \rfloor - i} \oplus \binom{y}{\lceil \frac{B}{2} \rceil + i} \text{ with } \binom{x}{\lfloor \frac{B}{2} \rfloor + i} \oplus \binom{y}{\lceil \frac{B}{2} \rceil - i}$$

In  $K^2(21, 10)$  we pair up subsets of the form

$$\rightarrow \emptyset \oplus \boxed{10}$$

$$\rightarrow \boxed{1} \oplus \boxed{9}$$

$$\text{with } \boxed{10} \oplus \emptyset$$

$$\text{with } \boxed{9} \oplus \boxed{1}$$

Layers  $\rightarrow$

$$\vdots$$
  
$$\vdots$$
  
$$\boxed{4} \oplus \boxed{6}$$

$$\text{with } \boxed{6} \oplus \boxed{4}$$

$$\rightarrow \boxed{5} \oplus \boxed{5} \text{ by itself.}$$

Kang (2018) No edges between layers that are at least two apart.

Kang (2018) Partition  $V$  into  $V_i$ ,  $0 \leq i \leq \lfloor \frac{R}{2} \rfloor$

where  $V_0 = \binom{X}{\lfloor \frac{R}{2} \rfloor} \oplus \binom{Y}{\lceil \frac{R}{2} \rceil}$

Layers  $\rightarrow V_i = \binom{X}{\lfloor \frac{R}{2} \rfloor - i} \oplus \binom{Y}{\lceil \frac{R}{2} \rceil - i}$  for  $(\leq i \leq \lfloor \frac{R}{2} \rfloor)$

when  $R$  odd,  $V_{\lceil \frac{R}{2} \rceil} = \binom{X}{k} \oplus \binom{Y}{0}$

For  $i, j$  with  $|i-j| \geq 2$ , there are no edges

of  $K^2(2k+1, R)$  between  $V_i$  and  $V_j$ .

so,  $K^2[V_{2j+1}], j \geq 0$  can share same set of colors

and  $K^2[V_{2j}], j \geq 0$  can share same set of colors.

Lemma 1 For  $R \geq 1$ ,

$$\chi(K^2(2R+1, R)) \leq 2 \lceil \frac{R+1}{2} \rceil + p(R)$$

where  $p(R) = \max \left\{ 2 \lceil \frac{R+1}{2} \rceil, \chi(K^2[(\frac{X}{\lceil \frac{R}{2} \rceil}) \oplus (\frac{Y}{\lceil \frac{R}{2} \rceil})]) \right\}$

middle layer

Idea for  
non-middle  
layers

Isomorphism between  
 $K^2[V_s]$  and  $J(X, \{s\}) \square J(Y, \{s+1, s\})$

for  $1 \leq s \leq \lfloor \frac{R}{2} \rfloor$

Generalized Johnson graphs

Easy to color!

Lemma 1 For  $R \geq 1$ ,

$$\chi(K^2(2R+1, R)) \leq 2 \lceil \frac{R+1}{2} \rceil + P(R)$$

where  $P(R) = \max \left\{ 2 \lceil \frac{R+1}{2} \rceil, \chi(K^2[(\frac{X}{\lceil R/2 \rceil}) \oplus (\frac{Y}{\lceil R/2 \rceil})]) \right\}$

middle layer

Idea

Isomorphism between

$K^2[V_s]$  and  $J(X, \{s\}) \square J(Y, \{s+1, s\})$

when  $k$  even.

$K^2[V_s]$  and  $J(X, \{s, s-1\}) \square J(Y, \{s\})$

when  $k$  odd

and  $K^2[V_0]$  and  $K^2[V_{\lceil R/2 \rceil}]$   
can share coloss.

Lemma 2 For  $k \geq 4$ ,

$$\chi(K^2\left[\binom{X}{L^{\frac{k}{2}-1}} \oplus \binom{Y}{R^{\frac{k}{2}-1}}\right]) \leq \begin{cases} \chi(K^2(R, \frac{R-1}{2})) & \text{if } k \text{ odd} \\ \max\{\chi(K^2(R-1, \frac{R-1}{2}), \chi(K^2(R+1, \frac{R}{2}))\} & \text{if } k \text{ even} \end{cases}$$

Idea: Give an explicit coloring using the optimal coloring of  $K^2(R, \frac{R-1}{2})$  based on the structure of the projections of the set onto  $X$  and  $Y$ .

More complicated when  $k$  even as we have to use optimal colorings of both  $K^2(R-1, \frac{R-1}{2})$  and  $K^2(R+1, \frac{R}{2})$

Lemma 2 For  $k \geq 4$ ,

$$\chi(K^2\left[\binom{X}{L^{\frac{k}{2}-1}} \oplus \binom{Y}{R^{\frac{k}{2}-1}}\right]) \leq \begin{cases} \chi(K^2(R, \frac{R-1}{2})) & \text{if } k \text{ odd} \\ \max\{\chi(K^2(R-1, \frac{R-1}{2}), \chi(K^2(R+1, \frac{R}{2}))\} & \text{if } k \text{ even} \end{cases}$$

For  $k$  even

$$f(A_X \oplus A_Y) = \begin{cases} q_1(A_X \setminus \{k\}) + q_2(A_Y) \pmod{p} & \text{if } k \in A_X \\ q_1(\overline{A}_X \setminus \{k\}) + q_2(A_Y) \pmod{p} & \text{if } k \notin A_X \end{cases}$$

A  $k$ -subset

$A_X$  projection onto  $X$

$A_Y$  projection onto  $Y$

$q_1$  optimal  $P_1$ -coloring of  $K^2(R-1, \frac{R-1}{2})$

$q_2$  optimal  $P_2$ -coloring of  $K^2(R+1, \frac{R}{2})$

$$P = \max\{P_1, P_2\}$$

The main proof carefully applies Lemmas 1 & 2  
inductively (via  $k$ ). ↑  
previously known

The bounds are tight when  $k=3, 4, 5, 6$   
& would stay tight giving a bound of  $2k+2$   
if we had as nice a bound for  $k$  even  
as we have for  $k$  odd.

Since  $k=2^d-1$  gives  
all of  $k_1 = \frac{k-1}{2}, k_2 = \frac{k_1-1}{2}, \dots, k_d$ , are odd.

We only need the odd portion of Lemma 2 there.

## Theorem 1 [K. & Kang 2020]

$$\chi(K^2(2k+1, k)) \leq 2(R+1) + 2\lfloor \log_2 k \rfloor$$

## Theorem 2 [K. & Kang 2020]

If  $k = 2^\alpha - 1$  for some  $\alpha \in \mathbb{Z}^+$

then  $\chi(K^2(2R+1, R)) \leq 2k+2$

---

### Open Questions

- ① Remove the  $\log_2 k$  factor above
- ② Ideas for  $K^2(2k+r, k)$  with  $2 \leq r \leq R-2$  ?
- ③ Lower bound for  $\chi(K^2(n, k))$   
Partition  $\binom{[n]}{k}$  into intersecting  $k$ -uniform families with  
bounded intersection sizes.

Thank You!!!